Zoning case studies analyzed for the Ford Plant site include projects that address parameters of urban form, land use mix, administrative processes and performance metrics similar to those expressed in the “Redevelopment of the Ford Motor Company Site – Phase I Summary Report: 5 Major Development Scenarios” and “Roadmap to Sustainability – Saint Paul Ford Site” documents. Case studies include a range of projects and zoning approaches from redevelopment of post-industrial waterfronts and urban industrial districts to new approaches in sustainable development.

Selected Case Studies:
1. Port of Dubuque: Dubuque Iowa
2. False Creek: Vancouver, Canada
4. East Billings Urban Renewal District: Billings, Montana
5. Habersham: Habersham, South Carolina
6. New Town: Salt Lake City
7. Metropolitan Area, Utah
8. Smart Code vr. 9.2

Detailed project descriptions have been compiled for each of the eight case studies. The eight case studies are summarized in the following bullet lists. Complete case studies are presented afterwards.

1. PORT OF DUBUQUE: DUBUQUE, IOWA
   • Based on the city’s existing Euclidean zoning, a Planned Development tied to a detailed master plan and with design standards was the most effective means for achieving the community’s vision for a new mixed use riverfront district.
   • There are pros and cons with vesting discretionary decision making authority in the City Manager: decisions can be made quickly which saves time and money but design plans can be reinterpreted or ignored in favor of other (economic, political, expediency, etc.) factors.
   • Detailed, architectural standards are not as important as consistent urban design (building placement, streets and blocks) and public realm standards.
   • Multiple development cycles are often needed to establish the adequate critical mass necessary to achieve socioeconomic vitality or a discernible sense of place.
2. FALSE CREEK: VANCOUVER, CANADA

- Adequate policy development, project planning and design take a significant length of time (ten years) to bring urban mixed use, brownfield, sustainable redevelopment on line.
- Sustainability was defined broadly to include social and economic as well as physical and environmental outcomes.
- Extending and reconnecting the existing street and block structure helped to establish a recognizable, predictable development pattern acceptable to project area stakeholders.
- The city’s unique (Canadian) land development procedures and processes utilize a series of Policy Documents (similar in content to Ford Site’s previous planning studies) that work together in guiding the phasing, form, function and detailed nature of the project areas’ redevelopment. The zoning portion of the regulatory framework focused on urban form (lot and block layout, density disposition, public realm, and building height) and used a series of principle and guideline documents to guide architectural expression.
- Project planning, design and regulations leverage the area’s important urban waterfront location by accommodating significant development intensity and density (FAR’s 1+, >50 du/acre).

3. GREENPOINT BROOKLYN: BROOKLYN, NEW YORK

- Market demand had already begun to transform this largely industrial area into a more residential district with local commercial retail and service establishments on the main corridors. Conversion of former industrial buildings, legally and illegally, into residential lofts depleted industrial spaces. Spaces of production became units of consumption.
- Official rezoning employed to bring more order and predictability to the district’s transformation. The influx of non-manufacturing uses has caused property values to rise, prompting owners of manufacturing buildings to replace manufacturers with other uses that can generate higher rental revenues.
- The City of New York sought to lessen the impact of this “gentrification” by including several measures, both regulatory (inclusionary zoning density bonuses) and financial (land, tax credits, tax exemptions), to ensure that some affordable housing would continue to be available in this area. However, space devoted to industrial uses and industrial jobs have been lost.
- The use of already-existing zoning districts, with some minor amendments, continued the tradition of a “patchwork” of zones in a substantially built-up area. This approach reflected the desire to work with and “preserve” the context of existing street grid and block pattern, mix of uses within blocks, and the neighborhood character, with height and bulk limits lower than the old zoning and consistent with the low-rise street wall of the neighborhood.
4. EAST BILLINGS URBAN RENEWAL DISTRICT: BILLINGS, MONTANA

- A series of plans (similar to the Ford Site’s previous planning studies) establish a strong basis for redevelopment.
- The existing street, block and lot structure creates a predictable development pattern; however, incremental development of multiple small sites will lengthen the time frame for implementation.
- The new project-specific code for redeveloping 500+ acres into several mixed-use districts was needed, as the City’s current land development regulations were inadequate for achieving the community’s vision for a new set of sustainable live-work-play neighborhoods.
- The new code is a complicated hybrid of traditional and form-based zoning principles. The code introduces a variety of smart growth design concepts, sophisticated urban design terminology and project-specific administrative procedures. It will likely require all participants in the redevelopment process to learn new ideas, language and procedures.
- The hybridized nature of the code (form-based combined with specific use-based regulations) could reduce flexibility. For example, highly specific requirements for types of acceptable businesses could result in requests for variances, code amendments and other complications as implementation proceeds over time.
- Sustainable development and design provisions use a point accrual system. While the minimum metrics are fairly modest, the point system allows for wide flexibility across a variety of project types and sizes, which is likely to result in a greater degree of use.
- Applications of large-scale, green infrastructure system improvements would be difficult to implement (and are not proposed) due to the majority of project area properties being privately held.

5. HABERSHAM: HABERSHAM, SOUTH CAROLINA

- Demonstrates a project that is contextual and responsive to area’s cultural design traditions.
- Utilizes 21st century Light Imprint stormwater management program for integrating sustainability and community design that is more sustainable, more attractive, and more economical than conventional subdivision design.
- Applies the transect zoning framework and new urbanism design regulations for urban-to-rural T-zones, architectural building types, landscaping with green infrastructure, and complete streetscape design standards.
- Utilizes a Master Developer team, with a town architect review board, and a builders guild as the gatekeepers for quality design and construction.
- An example of fine-grained incremental urbanism, Habersham is an important model for the future where large development loans are becoming scarce as the market continues to shift toward walkable mixed-use environments. Some important lessons demonstrated here are:
  - Subdivide the town center into small increments to allow for a variety of building types, sizes, and ownership structures.
  - Block structure is important: It is block structure that creates an environment that allows multiple incomes, land uses, and building sizes to coexist and build value for your town center.
  - Form-based regulations offer greater flexibility as they can be more market-responsive to changing demand for different uses while simultaneously establishing specific block structures and street orientation (frontages) for better walkability.
6. NEW TOWN: SALT LAKE CITY METROPOLITAN AREA, UTAH

- The structure plan and “block and chassis” planning methodology recognizes the importance of defining a street and block pattern in establishing a predictable development framework that will, in turn, shape building frontages and public space.
- The structure plan’s street, block and frontage typology parameters are easily translated into place-based zoning regulations and are adaptable to a range of development scenarios.
- The emphasis on urban form as opposed to use is likely to provide for greater market-responsive flexibility over time.
- The form-based nature of the project’s zoning regulations requires participants in the development delivery system (municipal staff, officials, designers, developers, financiers, etc.) to become familiar with a new system of regulations.
- Detailed aspects of sustainability would need to be identified and addressed within various provisions of the project’s zoning code wherever applicable.

7. SMART CODE VR. 9.2

- SmartCode version 9.2 provides a flexible, customizable foundation for establishing a comprehensive zoning framework that is adjustable to local conditions.
- The SmartCode’s modules for integrating aspects of sustainability are well aligned with the Ford Site Roadmap to Sustainability, in site design as well as coinciding with the various LEED rating systems.
- The mix and intensity of uses coded in the SmartCode’s Transect Zones align with the wide range of uses and levels of density/intensity illustrated in the five Ford Site development scenarios.
- The SmartCode has acquired a positive brand image within the national development community, which could attract the type of developers who are used to dealing with the more complex, mixed use development envisioned for the Ford site.
- Administering a separate, project-specific zoning code would require training and new thinking on the part of staff and others involved in the site’s redevelopment.
Prepared by Bob Kost, AICP, ASLA, LEED-AP

Port of Dubuque

PROJECT DETAILS

Project Name: America’s River at the Port of Dubuque
Location: Dubuque, IA
Project Website: www.americasriver.com
Project Type: brownfield / waterfront redevelopment
Planner/Designers: URS/BRW and Durrant Architects
Developer: City of Dubuque as master redeveloper, separate parcels developed by Dubuque Historical Society, City of Dubuque and various private developers
Site Size: 113 acres

GENERAL PROJECT DESCRIPTION

When the City was founded in the mid 1800s the project area consisted of low lying flood plain and marshlands. Early users filled in the marshlands and the area benefited from close proximity to the downtown and direct access to the Mississippi River and interstate rail lines. Primary uses included the Dubuque Boat and Boiler Works, a regional riverboat shipbuilding and repair facility; button manufacturing, tanning, smelting, brewing and bottling, and barge fleeting. Over the years, these uses were supplemented or replaced with expanded rail yards and barge fleeting, fuel storage, warehousing and riverboat casino gambling.

While the river and rail provided beneficial access to regional and national markets, the rail lines and adjacent US Highway 61/151 limited access and connectivity to the downtown. The site was also subject to routine flooding which was addressed in the 1970s by the US Army Corps of Engineers (USACE), Iowa Department of Natural Resources (DNR) and FEMA with an extensive system of earthen levies and concrete flood walls. Following the completion of flood control, the site to the north of the Ice Harbor underwent urban renewal, including removal and environmental cleanup of most heavy industrial facilities and the construction of a harborside Iowa Welcome Center. This facility also served as the landside operations center for the Diamond Jo riverboat casino.

Several riverfront master planning efforts were undertaken in the 1980s and 90s, resulting in the acknowledgement and appreciation of the riverfront’s importance as a community asset for future river-oriented recreational and entertainment development. Two of these efforts, The America’s River Project and the Port of Dubuque Master Plan led the way for district-wide rezoning and proactive redevelopment.

Land Uses: N/A
Zoning Designation: Planned Unit Development (PUD) - with Planned Commercial designation
Redevelopment Land Uses: Mix of office, office-showroom, commercial shops and services, entertainment-gaming, civic, maritime and medium to high density residential. Thirty-one specific permitted uses are identified in the project-specific PUD ordinance.
Permitted Conditional Uses: Group day-care facilities and drive-up automated teller machines (with appropriate screening).
Prohibited Uses: These range from free standing gas stations, pawn shops and auto dealerships to adult uses, funeral homes and all drive-through facilities. Eighteen specific prohibited uses are identified in the project-specific PUD ordinance.
Code Type: Planned Unit Development with Planned Commercial designation (PCD) and associated Master Plan and Design Standards
Illustrations: yes
Charts and Tables: no
Redevelopment of former industrial properties situated around an historic harbor, Mississippi River and downtown. Planning for the area focuses high intensity civic and entertainment uses directly along the waterfront (subject of The America’s River planning efforts) with other commercial, office and residential uses on non-waterfront properties (subject of the Port of Dubuque Master Plan and Design Standards project). Organized into two districts, North Port and South Port, the master plan acknowledges that redevelopment will occur in a series of phases over a 15 to 20-year time span. Following the properties’ rezoning, the first phase was primarily led by the development of civic uses, including the Mississippi Riverwalk, a landscaped riverfront promenade (which buries the former floodwall) and trail facility and the National Mississippi River Museum and Aquarium. Additional private and public investment in the project area has continued to focus on the landward areas of the North Port area. Projects to date include:

- National Mississippi River Museum and Aquarium
- Grand Harbor Resort and Waterpark
- Renovated Star Brewery with restaurant, shops, offices
- Grand River Event and Conference Center
- Riverfront amphitheater
- Riverfront plaza
- Mississippi Riverwalk with public boat docks
- Professional offices for McGraw Hill Co.
- Durrant Architects Corporate Headquarters (LEED Platinum)
- New, land-based Diamond Jo Casino
- Public parking garage
- Pavement and streetscape enhancements for 3rd, 5th and Bell streets
Dubuque’s zoning system designates Planned Unit Developments (PUD) on a project-specific basis, with uses (permitted, conditional and prohibited) identified in detail and parameters governing setbacks, bulk, density and intensity either specified in the ordinance or in supporting documents such as a detailed project master plan. For this project, the PUD incorporates the project master plan and design standards by reference. The project master plan includes a narrative describing the project goals and design intent and a series of specific plans, including a regulating plan, built-form plan, phasing plan, thoroughfare plan and street cross-sections. The master plan also includes detailed design standards organized in three sections: Design Standards, Built Form and Public Realm. These include prescriptive text, illustrations/diagrams and photos.
In effect, the master plan and illustrated design standards serve as a type of form-based code as they address the planning and design of public and private facilities in an integrated manner. The standards offer a range of dimensional minimums and maximums in the areas of building set back, height and configuration. While the standards don’t use the current terminology of sustainable design, their emphasis on mixed use, bicycle parking, transit, walkability, native landscaping and local building materials is well aligned with the City’s current sustainability goals and policies. Specific components of the design standards include:

**Design Standards**
- Applicability
- Design Review
- Design Approval
- Implementation
- Ground Floor Uses

**Built Form**
- Minimum first floor elevation
- Building context and style
- Building setback/ build to line
- Building height
- Ground level expression
- Roof lines
- Screening of rooftop equipment
- Building width
- Facade transparency
- Entries
- Balconies and terraces
- Building materials
- Architectural detailing
- Parking structures
- Accessory buildings
- Franchise architecture
- Maintenance

**Public Realm**
- Sidewalks and walkways
- Sidewalk landscaping
- Sidewalks on parkways
- Sidewalks on local streets
- Accessibility and curb ramps
- Sidewalk lighting
- Streetscape furnishings
- Bike parking
- Parking lot lighting
- Parking lot landscaping
- Surface parking
- Off street parking requirements
- Refuse
- Fences and screen walls
- Outdoor storage
- Outdoor audio
- Newspaper boxes
- Vending machines
- Signs

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**Example from Design Standards**

**Building Setback/ Build-to Line**

New buildings shall meet the defined public sidewalk line except for small setback areas (10-15 feet in depth) to create entry courtyards, patios, or outdoor seating, dining and gathering areas.

New residential buildings shall be set back from the public sidewalk line or right-of-way a minimum of 10 feet and a maximum of 20 feet to provide semi-private transition space between the public street and the front entry. This transition space shall be landscaped.

See Sidewalk Landscaping.

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**Building Height**

Building heights shall vary based on their proximity to the water front, with taller buildings located adjacent to the river and the harbor to capitalize on views and maximize land values.

**Waterfront**
- New buildings adjacent to the Ice Harbor or Mississippi River shall be a minimum of 3 stories (36 feet) and a maximum of 10 stories (112 feet) in height.

**Non-waterfront**
- In general, non-waterfront buildings shall be a minimum of 2 stories (22 feet) up to a maximum of 10 stories (112 feet) in height.
- New freestanding restaurants and office showroom buildings may be of one-story construction and shall be no less than 22 feet in height to the top of the front and side cornice lines.
- Any new building located across Bell Street from the Education and Conference Center shall not obscure the view of the dome of the historic County Courthouse as viewed from inside the central corridor of the Education and Conference Center.

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**Ground Level Expression**

In commercial, office and mixed use buildings, the ground floor shall be distinguished from the floors above by the use of one or more of the following elements: horizontal banding, an intermediate cornice line, a change in building materials, an awning or an arcade.

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*Setback along sidewalk line to provide entry court and outdoor seating area.*

*Single story building with 2 story curtain wall.*

*Examples of effective differentiation between ground floor and upper levels.*
Redevelopment of the Port of Dubuque has been concentrated on the North Port area with a focus on regional attractions for recreation, entertainment and education, including annual outdoor festivals. When reviewing the results of the PUD regulations one needs to be mindful that the design and implementation of new facilities adjacent to the river (National Mississippi River Museum and Aquarium, Grand Harbor Resort and Waterpark, Star Brewery and Grand River Event and Conference Center) was underway prior to the finalization of the Port of Dubuque Master Plan and Design Standards and overall rezoning. As these facilities were developed through public-private partnerships they were subject to extensive city and public architectural design review. Consequently, they were deemed exempt from the Built Form section of the design standards. While these facilities are attractively designed, they serve large numbers of visitors, have large footprints and require large amounts of parking. Although well-landscaped (per the design standards), the combination of large format facilities and surface parking results in an auto-dominated environment for the much of the area between Bell Street and the river.

The public realm within the North Port has been greatly enhanced through the reconstruction and streetscaping of 3rd, Bell and 5th Streets and the extensive Mississippi Riverwalk. New, custom-designed entry monuments and coordinated wayfinding have also been installed, helping to enhance and reinforce the Port’s identity. It is now possible to walk or cycle between the downtown, the Port and the Mississippi River for the first time in the City’s history.

A new off-street parking garage was recently constructed to serve non-waterfront uses along the west side of Bell Street and 5th Street. This has allowed new facilities such as the Diamond Jo Casino to sit along the sidewalk and provide a more walkable frontage. A new mixed-use commercial-residential project planned for the south side of 5th Street will also meet the sidewalk line, further establishing the walkable urban character designated in the master plan and design standards. Unfortunately this project was approved coincident with the 2008 economic downturn and has not progressed beyond the design and approvals phase.
ASSESSMENT

With all of the South Port and half of the North Port still undeveloped, it’s difficult to assess the outcome of all of the tools adopted for guiding the project’s implementation. Several of the new facilities, such as the Diamond Jo Casino have followed the master plan and design standards with good results. However, it’s also apparent that the master plan and design standards aren’t being consistently followed or applied in every circumstance. For example, the design for McGraw Hill’s corporate offices doesn’t include commercial use on the ground floor, is set back considerably further than the sidewalk line on all sides, places surface parking along a portion of the 5th Street frontage and uses the proposed central green space along Bell Street as its front yard. This may be due to compromises by the City acting as master developer with final design approval conferred by the City Manager, in order to advance some development in a down market.

The inclusion of built form standards pertaining to materials, fenestration and detailing is a response to locally witnessed undesirable trends in commercial and residential construction. These include the misinterpretation and combining of unrelated styles expressed through generic, inexpensive-appearing materials such as EIFS and vinyl siding. While these standards can raise the level of quality construction, they do not guarantee great architecture. Built form standards pertaining to setbacks, height, width, transparency and location of entries are aimed at establishing a walkable pedestrian realm. These offer more reliable outcomes than those standards that focus on building design, and are more typically addressed in a zoning code.
PROJECT DETAILS

**Project Name:** Southeast False Creek  
**Location:** Vancouver, British Columbia, Canada  
**Project Website:** www.vancouver.ca/sefc  
**Project Type:** Dense urban mixed-use redevelopment of a primarily industrial area comprising multiple lots and blocks, a grid of streets, rail access, and a multiplicity of property owners. Located in the central core of the city, with waterfront access.  
**Planner/Designer:** City of Vancouver  
**Developer:** Millennium Development, in partnership with the City of Vancouver, for the Olympic Village. Subsequent redevelopment undertaken by a variety of private developers.  
**Site Size:** 110 acres (80 public, 30 private)

GENERAL PROJECT DESCRIPTION

At the time of adoption of the South East False Creek (SEFC) Official Development Plan in 2005, the area was occupied by a variety of industrial uses including warehousing, manufacturing, auto repair shops, and wholesalers. A number of sites were vacant or underutilized. SEFC had been an industrial area since the late 1800s, with including sawmills, foundries, shipbuilding, metalworking, salt distribution, warehousing, and the city’s public works yard.

The change in City policy and development regulation to guide SEFC from industrial use to highly-urban mixed use has evolved, and continues to evolve, following time frame:  
1. release from industrial land base (1990-1991)  
2. Policy Statement: Toward a Sustainable Neighborhood and a Major Park in SE False Creek (1999)  
4. Rezoning for Individual Sub-areas (ongoing)  
5. Development and Design Directives (ongoing)  
6. Post-Development Initiatives - recommendations on initiatives to guide the operation and maintenance of this neighborhood in a sustainable manner  
7. demonstration projects

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**Land Uses:** Residential, Retail and Service, Office, Manufacturing (transportation, storage, utility, communication, and wholesale), Cultural, Recreational, Institutional, and Parks  
**Zoning Designation:** Official Development Plan By-Law No. 9073 (2005)  
**Redevelopment Land Uses:** N/A  
**Permitted Conditional Uses:** N/A  
**Prohibited Uses:** N/A  
**Code Type:** Form-based / Euclidean/ Hybrid  
**Illustrations:** Yes  
**Charts and Tables:** No
The Southeast False Creek Official Development Plan (SEFCODP) is divided into seven sub-areas. Sub-area 2A was the first phase of City-owned land to be developed, as the Olympic Village for the 2010 Winter Games, with 15-20 permanent buildings and many temporary structures, comprising approx. 1.2 million square feet of development.

The buildings in the Olympic Village were turned over to Vancouver Olympic Committee on November 1, 2009. During the 2010 Winter Games, the 17-acre Village housed 2,800 athletes and officials. The buildings were returned to the City on April 7, 2010. The majority of the buildings used during the 2010 Winter Games have become residential housing, with a focus on housing for families. As part of a mixed-use community, the housing component included about 1,100 units (250 units are affordable housing, and another 100 units are modest market housing).

Amenities for long-term neighborhood development were provided up-front via Olympic facilities including a 45,000 square foot modern, green community centre, named Creekside Community Recreation Centre; a non-motorized boating centre; and daycare and restaurant space converted from offices used by the Olympic and Paralympic Village mayor, management staff and Host First Nations.
DESCRIPTION & ANALYSIS OF ZONING

A Southeast False Creek Official Development Plan (ODP) is the comprehensive plan and basis for development in areas of Vancouver. It acts as an overlay zoning district, identifying general land use parameters, configuration of development parcels, parks, rights-of-way, public amenities, overall densities, massing, and critical strategies for sustainable design. The Southeast False Creek ODP embraces the vision defined in a policy statement adopted by the City Council and establishes a foundation for urban design and sustainability principles.

The Official Development Plan for SEFC focuses on development of a complete community that serves as a learning experience for the application of environmental, social, and economic sustainability principles and strategies on a broader scale. The ODP seeks to create a mixed-use neighborhood focused on a diversity of residential occupants, accommodating family housing as a priority, where people live, work, play and learn, and where social equity, livability, ecological health and economic prosperity are of paramount value. The complete neighborhood will ensure that goods and services are within walking distance and that housing and jobs are linked by transit.

Planned Park Areas

Cultural / Recreational / Institutional
The SEFC ODP identifies form and massing to ensure consistency with the vision of the surrounding neighborhood and to reflect intensive public process around the final built form. It also provides a framework for the creation of policies, zoning and other by-laws (ordinances), housing programs, public facilities agreements, subdivision plans, servicing agreements, design guidelines, forms of development, development conditions, restrictive covenants, shoreline treatment and configuration, and any other instruments, consistent with the ODP, necessary to regulate development. The sequence of the adoption of the ODP and other official documents is as follows:

- The Southeast False Creek Official Development Plan By-Law and two accompanying City Council Reports (Financial Strategy and Sustainability Targets and Indicators) were approved by the Vancouver City Council at public hearing on March 1, 2005, enacted on July 19, 2005, and amended on March 7, 2006.
- The SEFC Public Realm Plan was approved by City Council on July 20, 2006.
- The Southeast False Creek Green Building Strategy was adopted by City Council on July 8, 2004 and amended on July 22, 2008.

In addition, there are several key policy documents that have been prepared by either City staff and/or consultants that have not been adopted by the City Council but nevertheless are referenced by developers in their preparation of specific site plans, by City staff in their review of those site plans, and by the Development Permit Board in their approvals.

- July 2002 - the Phase 1 Energy Options Study completed
- September 2002 - the Water and Waste Management Plan completed
- November 2002 - the Urban Agriculture Strategy completed
- November 2002 - the Transportation Study completed
- March 2007 - the Southeast False Creek Art Master Plan completed
- The ongoing Southeast False Creek Design Considerations – Draft Considerations for Private Lands, is an evolving report to be “updated” through the collaborative efforts of City staff, landowners, and developers in a “learn as we go” process.
DEVELOPMENT APPROVAL PROCESS

All properties within the boundaries of the ODP retained their underlying industrial zoning. The process of redeveloping specific parcels is initiated by various CD-1 (essentially Planned Unit Developments) rezoning applications. Since the SEFC ODP was adopted, there have been twelve (12) rezonings of publicly-owned lands and six (6) rezonings of private-owned lands.

A key component in this regulatory system for development review and approval is the entity that reviews and approves each development permit application. The City Planning Commission approves the overlay zone, i.e. the Official Development Plan. Once the overlay ODP is adopted, the Development Permit Board (DPB) makes the decisions on individual developments through the rezoning of individual parcels for redevelopment. In making those decisions the Development Permit Board is bound by the provisions of the Zoning Code. However, the DPB also has a degree of discretionary authority as delegated by the City Council. Subsequent to the approval by the Development Permit Board, City staff “secures” the implementation through building permits and development agreements between the City and the developer. The Development Permit Board is an administrative tribunal composed of Director of Development Services who is the Chair, Director of Planning, General Manager of Engineering Services, and the Deputy City Manager.
ASSESSMENT

The regulatory approach for the Southeast False Creek development is essentially an overlay district, i.e. the Official Development Plan (ODP). The underlying individual zoning districts are retained. The ODP in this case has a strong vision and policy basis, with urban design and sustainability principles governing development, social sustainability strategies (including targets for affordable and modest-market housing, health care, and quality affordable child care), identification of permitted land uses, and development regulations and patterns. Illustrative Plans are included that provide guidance for park development, building heights, pedestrian routes, street hierarchy, etc. The site plan extended and connected to the nearby block and grid patterns. Sub-Area delineations and descriptions are also included. The ODP is buttressed by a variety of supporting studies and reports that articulate in more detail the steps recommended to achieve adherence with the provisions of the ODP.

The review and approval process for individual parcels is initiated by a developer who applies to obtain a CD-1 approval, which is essentially a planned unit development. While the ODP is approved by the city Planning Commission and adopted by the City Council, each CD-1 rezoning application is reviewed and approved at a public meeting by the Development Permit Board comprised of senior City staff. This type of two-step approval process might not be permitted under U.S. land use law. Further study is required. However, this system has achieved high quality redevelopment, with a good balance of predictability and flexibility for City elected and appointed officials, developers, and the larger community.

By 2020, the city envisions that Southeast False Creek will be home to 12,000 to 16,000 people (dwelling unit density of 75 units per acre) and will have 6.0 million square feet of development, including:

- more than 5,000 residential units
- mid-size grocery store and community serving retail/services
- full-size community centre
- non-motorized boating facility
- Adjacent pedestrian, cyclist, and transit services (LRT, streetcar, bus)
- three to five licensed childcare facilities
- two out-of-school care facilities
- an elementary school
- interfaith spiritual centre
- restoration of five heritage buildings
- 26 acres of park land, including habitat, playgrounds and opportunities for urban agriculture.
PROJECT DETAILS

Project Name: Greenpoint-Williamsburg Rezoning
Location: Brooklyn, New York City, NY
Project Type: Not one project; multiple properties, multiple property owners
Planner/Designer: City of New York Department of City Planning
Developer: various
Site Size: 183 city blocks (bounded roughly by the Williamsburg Bridge to the south, the Brooklyn-Queens Expressway (BQE) and McGuinness Boulevard to the east, Newtown Creek to the north, and the East River to the west).

GENERAL PROJECT DESCRIPTION

Greenpoint and Williamsburg developed more than 100 years ago, as neighborhoods dominated by large-scale waterfront industry, including ship builders, china and porcelain factories, glass makers, oil refineries, sugar refineries, iron foundries, and other industry. A multi-ethnic residential community developed on nearby streets, and in portions of the area homes and factories intermingled, setting a pattern of mixed use that shapes the neighborhood to this day. Since the mid-20th century, industry has declined sharply, and these neighborhoods adapted to changing economic conditions. Heavy manufacturing uses gave way to light manufacturing, wholesaling, distribution, and construction. By the early- to mid-1990s, many artists had found the industrial lofts of Williamsburg to be accommodating and affordable places in which to live and work. This pattern was followed in Greenpoint. While housing demand has been growing with the population, most of the housing supply is in existing residential buildings or conversions from non-residential use. Existing zoning in Greenpoint-Williamsburg reflected historical, rather than current, (residential) land uses.

Land Uses: Light industrial, residential, and local retail
Zoning Designations: Residential Overlay Districts (R6B, R6A, R6, R7A); Commercial Overlay Districts (C1-4, C2-4); Special Mixed Use (MX-8) District; Manufacturing Districts (M1-2); Text Amendment for Inclusionary Housing zoning bonus, certain specified urban design requirements for height and bulk, and a Waterfront Access Plan (WAP) identifying specific locations for required waterfront pedestrian access, visual corridors, and design parameters tailored to the geography of the WAP area. (Adopted May 11, 2005 into the NYC Zoning Resolution.)
Redevelopment Land Uses: N/A
Permitted Conditional Uses: N/A
Prohibited Uses: N/A
Code Type: Hybrid
Illustrations: Yes, but very few. Accompanying toolkit is highly illustrated
Charts and Tables: No
GENERAL PROJECT DESCRIPTION (CONT)

The NYC Department of City Planning proposed the zoning changes to facilitate new housing affordable to a range of incomes, open spaces, and compatible light industry and commercial uses, along two miles of Brooklyn’s East River waterfront and the adjoining upland neighborhoods.

The existing zoning, for the most part, did not permit new residential buildings. In two vibrant communities, as well as areas that have been mostly vacant and derelict for years, the rezoning was intended to create opportunities for thousands of new housing units, including affordable housing. The new zoning controls would set height limits to ensure that new buildings would fit in with their surroundings. In recognition of the mixed-use character that has long defined these neighborhoods, there were certain areas zoned to a Special Mixed Use District (MX-8) to permit light industrial and residential use to coexist, while retaining manufacturing zoning in areas with critical concentrations of industry. This rezoning creates the opportunity for 10,800 new housing units, and through a combination of zoning incentives, housing programs, and city-owned land, 3,500 of those units will be affordable. The rezoning also encourages the growth of the industrial sector through a series of policy incentives and financial commitments. Zoning actions were also intended to facilitate a continuous public waterfront walkway, new open spaces, and a 27.8-acre park along the East River, creating new recreational opportunities and forging long-sought links between the water’s edge and the established Greenpoint and Williamsburg communities.
These rezoning measures did not create new districts, but rezoned many formerly industrial properties using existing residential districts with commercial overlays on main roadways. There were zoning text changes, in the form of a Waterfront Access Plan (WAP), to establish special bulk, height, and setback rules for waterfront areas to ensure a sensitive transition between waterfront and upland blocks, encourage varied building heights, control tower dimensions, provide a pedestrian-friendly streetscape, and activate waterfront public access areas. The modified zoning added an Inclusionary Housing zoning bonus. There was also a city map change to designate the waterfront parkland.

To augment the rezoning of the Greenpoint-Williamsburg area, the NYC Zoning Resolution website provides a Zoning Toolkit which includes the three main district categories (Residence, Commercial, Manufacturing), and complementary rules addressing specific types of development, design and quality of public spaces. Some initiatives allow the modification of underlying regulations when developing large sites, such as Large-Scale Development, while others fine-tune those same regulations to address lower-density areas or the particular challenges and opportunities at the water’s edge.

Initiatives such as the Inclusionary Housing Program, Privately Owned Public Spaces (POPS) and FRESH Food Stores offer a zoning incentive in exchange for affordable housing, more public plazas or access to fresh foods at targeted locations around the City. The “Zoning Toolkit” has a disclaimer that states that it “provides only general zoning information and is not meant to serve as a substitution for the actual regulations which are to be found in the Zoning Resolution.”

Examples of residential zoning housing types

These illustrations are included in the “Zoning Toolkit” which is part of a Zoning Reference portion of the New York Zoning website. This “Zoning Toolkit” is not part of the actual Zoning Resolution.
ASSESSMENT

The new regulatory framework for the Greenpoint Williamsburg area is essentially a very traditional Euclidian approach in a built-up area. The City of New York wants to respond to the emerging pressure in these areas for conversion of formerly-industrial buildings to residential. The zoning changes make it possible to create new housing in new buildings, along with compatible industrial and commercial. The area will likely continue to be a very mixed-use area with the new developments giving the market different choices for space and price-points. The inclusionary housing provisions will ensure that at least some of the new housing will be affordable, while the new park and waterfront walkways will provide needed open space for the increased residential densities.

This rezoning initiative was coupled with financial commitments on the part of the City to enable land use and socio-economic objectives to be met through the combined efforts and resources of the public and private sectors.

Looking north along West Street at the intersection of Commercial Street. The proposed enlargement of Newtown Barge Park would open to the public the water’s edge and spectacular views.

Looking south along West Street from the intersection of Freeman Street. The proposed 65-foot height limits along the west side of Commercial Street, West Street, and Kent Avenue requires waterfront development to meet the neighborhood at a low scale.
The East Billings Urban Renewal District (EBURD) is the oldest part of the City of Billings, comprised primarily of about 400 acres of industrial land. The District is adjacent to the downtown Central Business Area, hemmed in on the other three sides by 8th and 6th Avenues, rail lines, and the county fairgrounds. “For years, EBURD’s industrial lands have been an economic engine for the City of Billings, providing jobs and services, manufacturing durable and unique products, and shipping goods and recycled steel to coastal cities in the United States and abroad” (EBURD Master Plan). The 1997 Downtown Billings Framework recommended revitalization of the district due to its aging infrastructure, low job density, and influence on the health of the adjacent downtown. In 2007, property owners in the District formed the Billings Industrial Revitalization District (BIRD, Inc.). With the support of property owners, Big Sky Economic Development Authority (BSED) and the City of Billings established the East Billings Urban Renewal District (EBURD) and created a Tax Increment Finance District, with the goal of retaining vital businesses and industrial land uses and attracting reinvestment through revitalization. The EBURD Master Plan was developed in 2009 by a team led by EDAW/AECOM. The plan establishes a development framework organized around eight distinct districts, ranging from a mixed-use urban village with residential and educational components to the “Central Works,” “Rail Recycling Hub” and “Exposition Gateway” districts, based on the retention of existing uses and infrastructure. Currently, BSED is working with the City-County Planning Office and property owners to implement the steps recommended in the plan. One step is development of a “flexible hybrid form-based code” to replace existing zoning. The code is currently in final draft form.
DESCRIPTION & ANALYSIS OF ZONING

A narrative description and analysis of code follows the districts:

- Rail Spur Village District (RSV): “a walkable neighborhood focused on residential uses with associated green spaces and commercial businesses with the appropriate form.”
- Rail Spur Village Main Streets District (RSVMS): Along the two primary streets in the RSV, extending from downtown, “continuous, walkable, shopping & dining corridors with upper floor residential and office uses.”
- Central Works District (CW): “intended to allow a flexible mix of uses, including commercial and light industrial uses.”
- North 13th Street Main Street District (13th): “intended to provide a walkable, shopping & dining corridor with upper floor office and residential adjacent to the Central Works and Industrial Sanctuary districts, while allowing appropriate craftsman industrial and commercial businesses.” (N. 13th divides the CW and IS districts.)
- Industrial Sanctuary District (IS): “intended to allow a wide mix of industrial businesses within the area with limited form requirements.”

Land Uses: Uses are defined using general categories (i.e., “general service”), each of which includes a detailed list of uses. Uses may be permitted, permitted on upper floors only, permitted with development standards, or may require special review. (Relatively few uses require special review; there are no “conditional uses” as commonly defined.)

Frontage Types: Defines 8 frontage types: yard, general stoop, storefront, limited bay, commerce, open frontage, civic frontage, and commercial outdoor site. The “frontage type” defines the buildings and their sites – all parameters of the building and its placement on the lot are specified, including setbacks, build-to lines, building height, height of stories, placement of balconies, parking and service area locations, etc. Frontage types also include defined entrance types and roof types. The defined entrance types are 1) storefront; 2) arcade; 3) stoop; and 4) porch. Roof types are 1) parapet; 2) pitched (various subtypes); 3) barrel; and 4) flat. Each frontage type allows one or more entrance and roof types.

Parking Overlay – an existing parking overlay covers most of the EBURD area and exempts it from minimum off-street parking requirements.

Street Types: Four street types are defined and mapped: 1) Neighborhood; 2) Connector; 3) Avenue; and 4) Boulevard. All east-west streets are types 2, 3 and 4. A hierarchy of streets is also defined (Primary, Street 1 and Street 2) to establish front property line and priorities for pedestrian orientation.

Other code elements:
- Landscaping requirements for parking lot buffers, interiors
- Detailed signage requirements
SUSTAINABILITY MEASURES

The East Billings Urban Revitalization code outlines specific sustainable development measures to be adapted by all sites within the district of redevelopment. A tallied point system was created to determine the potential sustainable compliance of new development and ensure that such issues were addressed throughout the planning and building process. Each application for development must accrue (5) points minimum in any combination of the listed sustainable development measures.

A large scale standardized green development or eco-industrial park would bring money to and create interest in the area. The sustainable development measures aim to work for the collective benefit of both public and private/industrial development in East Billings. The point system for the newly zoned areas fosters environmental responsibility through required sustainable development actions that benefit the building project economically and ecologically.

Sustainable Development Measures
1. Certified Green Buildings Measure (3 points)
Certify a new construction building or building undergoing major renovations through a green building rating system
2. Building Energy Efficiency Measure (2 points)
Newly constructed buildings must demonstrate an average 10% improvement over the energy code currently in effect in the City. Major Renovation: Building must demonstrate an average 5% improvement
3. Building Water Efficiency Measure (2 points)
Indoor water use in new buildings and major renovations must be an average 20% less than in baseline buildings.
4. Water-Efficient Landscaping Measure (1 point)
Reduce potable water used for landscape by utilizing all xeriscape plant materials and providing no permanent irrigation system or using only captured rainwater with an irrigation system.
5. Renewable Energy Sources Measure (2 points)
Incorporate renewable energy generation on-site with production capacity of at least 5% of the building’s annual electric and thermal energy
6. Green Roof Measure (2 points)
Install a vegetated roof for at least 50% of building roof area.
7. Heat Island Reduction Measure (1 point)
Use any combination of the following strategies for 35% of all on-site, non-roof hardscape areas, including sidewalks, plazas, courtyards, parking lots, parking structures, and driveways.
   a. Tree Canopy Cover. Coverage of the surface at shade tree maturity in 15 years.
   b. Solar reflective paving & roofing with a SRI of at least 29.
8. Pervious Pavement Measure (2 points)
Install an open grid or pervious pavement system that is at least 40% pervious on 80% of all hardscape surface areas
9. Enhanced Bicycle Amenities Measure (1 point)
Inclusion of two of the following:
   a. Lockable enclosed bicycle storage.
   b. Employee shower facilities.
   c. Increased bicycle parking spaces.

East Billings Form Based Code http://www.eburd.com/Pages/FormBasedCode.aspx
IMPLEMENTATION

Effectiveness of the draft code is hard to judge prior to adoption. There has been some development and redevelopment activity in the EBURD District, based on the master plan and various redevelopment incentives.

New Construction
- General Service Administration’s construction of a leased federal office building on the former site of lumberyard
- Rocky Mountain Professional Building/Turley Dental offices
- Billings Food Bank on Fourth Avenue North
- First Interstate Bank’s Operations Center on 6th Avenue N
- O’Reilly Auto Parts completed a new building

Remodeling and Renovations
- Red Ox Manufacturing’s refurbishment of an older structure at 1123 Second Avenue North to add production space

Kairos Development $3.5 million remodel of former Pierce Packing Plant along 1st Avenue N
- Billings Marble & Granite remodeled its space
- Planning and Fundraising:
  - North Park Children’s Center – full-service child care, preschool, after school care, Head Start and other children’s services – location seeking and funding
  - Exposition Gateway District (adjacent to EBURD) – concept plan under development

Recently, two of the interior streets within the EBURD were converted from one-way to two-way traffic, using a complete streets approach. Both 2nd Avenue North and 3rd Avenue North, beginning at North 13th Street and extending west to North 22nd Street, now allow two-way travel.

ASSESSMENT

This zoning code creates an interesting model where sustainable development is a requirement for all projects on site. By putting such sustainability measures into the actual zoning code, the outcome is assured rather than merely a possibility. There are a few weaknesses within the code, however. The alphabet/graphic system of explanation in the code is perhaps overly-complicated and time consuming to use and understand. The zoning requirements for types of acceptable businesses are too specific and could result in complications in planning at a later date. While it’s difficult to know the true effectiveness of the code prior to adoption, the zoning code certainly raises interesting questions on the role that zoning can play in advancing sustainable, infill development

Article 27-1800. East Billings Urban Revitalization District Code

Section 27-1813(d). Frontage Type Standards: Limited Bay

<table>
<thead>
<tr>
<th>(1) Building Siting</th>
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<th>(4) Street Facade Requirements</th>
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<tbody>
<tr>
<td>a. Street Eaves</td>
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<tr>
<td>Multiple Principal</td>
<td>Minimum Height</td>
<td>Vertical Length</td>
<td>Minimum Height</td>
</tr>
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<td>Buildings</td>
<td>1-story, 2-story</td>
<td>10' min.</td>
<td>9' min.</td>
</tr>
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<td>Front Lot Line</td>
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Notes:
- Ground floor area permitted 1 double-loaded side of parking (minimum width of 10 ft) located perpendicular to street. Minimum height is exempt from front lot line coverage calculation.
- Side lot line permitted on either the front or rear side facades; minimum width 20 ft for either loading or parking entry.

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*Above the fourth story, the upper portion of any building facade with either horizontal or vertical length shall have a 1:12 pitch, from 10 ft lower Stories that is a minimum of 4 and maximum of 12. 1:24 or smaller shall count as 2 stories towards maximum building height.

- Street Facade
- Vertical Erection
- Minimum Height
- Horizontal Extension
- 10' min. 12' max.

- Balconies

- Roof Type
- Minimum Height
- 18' max.
- Minimum Overall Height
- 18' max.
- Maximum Overall Height
- 20' max.

- Access to Balcony
- Minimum Overall Height
- 18' max.
- Maximum Overall Height
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- Structure
- Independently secured and connected to other building or integrated to the facade

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Figure 27-1813(d)-1: Building Siting.

Figure 27-1813(d)-2: Height & Use Requirements.

Figure 27-1813(d)-3: Street Facade Requirements.
Habersham
Prepared by Tom Low, AIA, AICP, LEED-AP

PROJECT DETAILS

**Project Name:** Habersham  
**Location:** Beaufort County, South Carolina  
**Project Web site:** www.habershamsc.com  
**Project Type:** New Town with Light Imprint Infrastructure  
**Planner/Designer:** DPZ Charlotte  
**Developer:** Habersham Land Company  
**Site Size:** 280 acres

- **Land Uses:** Neighborhood Center, Neighborhood General, Neighborhood Edge, Civic  
- **Zoning Designation:** N/A  
- **Redevelopment Land Uses:** N/A  
- **Permitted Conditional Uses:** N/A  
- **Prohibited Uses:** N/A  
- **Code Type:** TND Ordinance/Form-based, Architectural Review Board  
- **Illustrations:** yes  
- **Charts and Tables:** no

GENERAL PROJECT DESCRIPTION

The master plan for the new town of Habersham was created in a charrette led by Tom Low of DPZ Charlotte in 1997. Habersham can be used as a case study for Duany Plater-Zyberk and Company’s Light Imprint initiative. The initiative provides a framework for the design of sustainable neighborhoods like Habersham based on New Urbanism transect zoning principles. Habersham’s infrastructure is based on low impact techniques for providing good environmental design.

The land on which Habersham is constructed has been inhabited for centuries. The Habersham site includes the grounds of Treadlands, a former antebellum plantation built in the early 1800s. The ruins of the house’s dilapidated foundation have been preserved in the center of a park. Additionally, one of Habersham’s islands was the site of an oyster factory in the late 1930s and the early 1940s.

Located on the island of Port Royal in Beaufort County, Habersham is approximately six miles southwest of the city of Beaufort, South Carolina. Habersham is less than a mile from the intercoastal waterway. Two small islands are connected to the southern tip of the property by causeways. The southern boundary of the site is Habersham Creek; the marshes of the Broad River form the western boundary. In all, Habersham has over thirteen thousand linear feet of marsh frontage.

The two hundred and eighty-three acre site is crossed by a number of small creeks that drain to the Broad River marshes. Seventy-three acres of the site have been preserved for parks, common areas, and natural drainage basins. Mature vegetation along the marsh edge has created a natural windbreak and an inviting habitat for wildlife. The town founders and DPZ Charlotte worked with environmental groups and governmental agencies to meet residents’ needs while preserving the inherent beauty of the site. Extensive tree surveys were conducted and wetland preservation and marsh buffers were an important part of the master plan. One feature the town founders wanted to accentuate is an allée of live oaks left from the antebellum plantation that once occupied part of the site.
Previously, a conventional master plan was drawn for Habersham. That plan proposed that the entire site become a private, gated community with distinct and separate pods for single-family lots, multifamily housing, and commercial interests. That master plan proposed as many as forty-five cul-de-sacs arranged along a single oval-shaped spine road that looped through the site. Almost the entire shoreline, over ten thousand linear feet, was to be devoted to private lots for housing. The plan would have completely privatized the shoreline with the backs of homes facing the marshes. Those who could not own lots on the shoreline would have had no access to the marshes and no chance to see the glorious sunsets over the Broad River. The shoreline of the largest island would have suffered the same fate.

The DPZ master plan of 1997 is completely different from the conventional plan. Now almost completely implemented, Habersham is the winner of the 2004 Platinum Award in the Best in American Living (BALA) Competition, sponsored by Professional Builder magazine and the National Association of Home Builders.

With a sizable town center, Habersham serves as an urban hub for surrounding neighborhoods. The new town of Habersham provides for approximately six hundred and fifty private residences. The town center is complete with a post office, fire station, restaurants, shops, neighborhood businesses, and live-work units, apartments, condominiums, and townhomes. A small island is dedicated to recreation uses for residents, and there are numerous parks and greens. Different building types are located within the site according to the various gradations of urban transect zoning.

Like all DPZ design communities, the architecture found on the site respects the local vernacular. Low Country architecture employs methods used in traditional designs for ventilation and cooling. These logical methods, forgotten or ignored by conventional builders, are regulated by the architectural codes of Habersham. For instance, cross-ventilation and abundant natural daylight are achieved in the apartment and condominium building types by having only two units per floor. That means each dwelling has windows on three sides. At the same time traditional covered porches facing the southern exposure provide shade in summer and access to breezes. A side benefit is the range of excellent views that these urban homes have.

The broad assortment of building types creates a varied and authentic neighborhood environment. In the town center, live-work units provide living space above street-level commercial space. Mixed-use buildings provide street-level shops with commercial space above and residents on the upper floors. Many of the apartment buildings are three stories tall and six units per building making these very compatible with nearby townhomes and houses. At the edge of the town center, townhouses similar to those found in Savannah, Georgia, have a park in front rather than a lawn.

The housing options include large single-family houses on large lots, large single-family houses on medium-sized lots, cottages on small lots, townhouses, apartments, condominiums, and live-work units. With so many choices, anyone of any age could choose to live in Habersham. Additionally, the compatibility of structures ensured by the code maintains high property values.
STORMWATER MANAGEMENT

Since it is located near the Atlantic Ocean, heavy squalls can produce a large amount of rain in Habersham in a short time. The region is also prone to rainfall accumulations from tropical storms and hurricanes. Stormwater management was a serious consideration for the development team. At the same time the development team desired relatively cost-effective methods and readily available local materials. These initiatives are present throughout Habersham, but are adjusted according to context and transect zones, whether in the Town Center where development is most dense, Neighborhood Center where there is mostly high density housing, the neighborhood General with a range of small and large homes, or at the neighborhood edge where development is characteristically less dense with more environmentally sensitive conditions.

Even in the most urban areas, stormwater management is carefully considered. Many of the live-work and townhouse units have formal interior courtyards that utilize paver blocks with gravel and planted joints. The parking lots behind the town center buildings use pervious crushed stone paving.

Most of the street paving in Habersham is asphalt. Since the street widths vary from very narrow to multiple lanes, the traffic load determines the amount of pavement. Using narrow paved streets allows more vegetation to absorb runoff and to filter impurities from the runoff. Some less urban streets have sidewalks on only one side further reducing the amount of paving. Wood planks are used to pave bridges and marsh walkways in Habersham.

Natural creeks crossing the site channel run off to the marshes. This mitigates the need for catch basins and underground piping across the site. Swales, with a combination of vegetation and stone, channel water away from recreation areas and parking lots. Naturally occurring shallow marshes provide an inexpensive means of filtering runoff before it enters the aquifer or the Broad River. Green fingers of land, i.e., narrow strips of vegetation, between structures are another means of filtering runoff. The most expensive filtration method used in Habersham is a constructed wetland.

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**Light Imprint Tools used for Habersham Paving**

- Wood Planks
- Crushed Stone/Shell
- Asphalt
- Concrete
- Pea Gravel

**Channeling**

- Vegetative/Stone Swale
- Slope Avenue
- Shallow Channel Footpath
- Concrete Pipe
- Gutter

**Storage**

- Retention Basin with Sloping Bank
- Retention Pond
- Landscaped Tree Wells

**Filtration**

- Wetland/Swamp
- Filtration Ponds
- Shallow Marsh
- Surface Landscape
- Natural Vegetation
- Constructed Wetland
- Green Finger

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*low country environment*
DESCRIPTION & ANALYSIS OF ZONING

The growth and development of Habersham is implemented and guaranteed by a series of specialized, inter-related documents known as the Habersham Traditional Neighborhood Development (TND) Ordinance. These documents enable the development of compact, integrated use neighborhoods coming together to form a town. Included in the TND Ordinance are the following:

- The Regulating Plan is keyed to the three urban conditions of neighborhood center, neighborhood General, and neighborhood Edge. These three conditions describe the range of building and thoroughfare typologies which are coded by the plan ranging from more urban to more rural. This plan is a graphic document showing the urbanized areas. It is highly detailed, but may be modified to reflect development constraints or opportunities, as well as to incorporate design improvements conceived subsequent to the initial design. Modifications shall be essentially cosmetic and shall not alter the underlying structure of the principles described in the TND ordinance.

- The Urban Regulations provide a graphic code describing the building types with their required location on lots, their massing, and their detailed urban behavior, including parking.

- The Architectural Regulations serve as a written code which restricts the construction materials, the architectural configuration and construction techniques which result in the visible expression of the buildings. The regulations assure that all architecture is consistent with the overall vision for the village. Regulations favor buildings with sound long-range aging and ecological properties. Civic Buildings are exempted from architectural regulations as they are expected to be freely expressive of the artistic and communal aspirations of the citizens.

- The Street Sections describe the spatial definition of the public space by buildings and trees, as well as the layout of the traffic lanes, parking, and sidewalks which are built within the rights-of-ways shown on the regulating plan.

These four Ordinance documents guide the implementation of Habersham. They are administered by the current developers and their successors. (Bob Turner - Master Developer, Habersham Land Company - Current Developer)
Prepared by Tom Low, AIA, AICP, LEED-AP

PROJECT DETAILS

Project Name: New Town (Confidential)
Location: Saratoga Springs, UT
Project Web site: n/a
Project Type: New mixed use community design
Planner/Designer: DPZ
Developer: Confidential (pending approval in October 2012)
Site Size: n/a

GENERAL PROJECT DESCRIPTION

The planning and design for a new mixed-use community in Saratoga Springs, Utah began with an in depth study of Mormon settlement patterns. These patterns have played a seminal role in defining basic patterns and forms of land development for more than a century. Early settlements by the Mormon people are based on the plat directives but adapted to place and population. These settlements types are called “community units” as they are integrated into our new coding language. Community Units are structured by pedestrian sheds, which illustrate the time it takes to walk to a meaningful destination like a grocery store. Intensity of use and population varies within single community units. The project urban pattern returns to the tradition of the typical Zion block of 660 ft by 660 ft, on ten acres. The size initially provides subsistence agriculture. It is very useful because it can be divided in diverse ways.

The flexibility of the 660 ft x 660 ft block has the potential to be subdivided in a variety of ways, creating distinct and diverse environments. The distinction between orthogonal and more picturesque division, as well as the types of units in each block, determines the urbanity of each block. Here the flexibility of the block is shown. The blocks with very few if any divisions provide a place for larger lots for growing food. The more picturesque streets create a more sub-urban condition for larger dwellings. Mixed use and downtown areas are more orthogonal. Blocks can be further analyzed based on their characteristics. They fall into five categories which correspond to the Transect.

Land Uses: Mixed-use, Civic Zones, Special Districts in a range of transect classifications
Zoning Designation: Transect Zones include Rural, Sub-urban, General Urban, Urban, Urban Core
Redevelopment Land Uses: N/A
Permitted Conditional Uses: N/A
Prohibited Uses: N/A
Code Type: Form-based
Illustrations: yes
Charts and Tables: yes

New Town, UT
Prepared by Tom Low, AIA, AICP, LEED-AP

PROJECT DETAILS

Project Name: New Town (Confidential)
Location: Saratoga Springs, UT
Project Web site: n/a
Project Type: New mixed use community design
Planner/Designer: DPZ
Developer: Confidential (pending approval in October 2012)
Site Size: n/a

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GENERAL PROJECT DESCRIPTION (CONT)

This project uses a form-based structure plan to establish street block configurations and street cross sections that in aggregate provide a new set of neighborhood settlement patterns. This methodology of creating a chassis with a kit of parts, establishes the form-and-functional framework for the site, while allowing great flexibility for subsequent stages of planning and design. Precedents of pertinent urban settlement patterns are examined in detail, to identify typical metrics for urbanism that can be codified into a contextual framework for new development. Using metrics from relevant precedents, a conceptual framework or chassis for a theoretical town is developed that allows for the introduction of a variety of block configurations, while maintaining a rational overall urban structure. At the scale of the street block, a variety of sample block types are prepared, each of which may easily be “dropped into” the general structure plan.

*Block Characteristics*

Blocks can be further analyzed based on their characteristics, They fall into these 5 categories, which correspond to the code.

The prototype street blocks may be further analyzed based on their characteristics, in correspondence with the form-based framework.
The prototype street blocks may be further analyzed based on their characteristics, in correspondence with the form based transect framework i.e. Rural, Sub-urban, General Urban, Urban, Urban Core. Metrics are developed for each prototypical block, and include permitted transect zone categories. Metrics per building type include linear frontage, number of dwelling units, number of bedrooms or number of persons. Metrics for the aggregate buildings per block include the number of buildings of each type, number of dwelling units, number of persons per building type, frontage per building type, total block frontage, total on-street parking, and cost per linear frontage of each building type. Applied to an actual site, the proposed structure plan serves as a physical framework (addressing among other aspects, street types/thoroughfare standards, block types, open space and civic facilities/amenities) and provides a chassis for the allocation of the various anticipated development intensities.

At the scale of the street block, a variety of sample block types are prepared, each of which may easily be “dropped into” the general structure plan.

DESCRIPTION & ANALYSIS OF ZONING

The structure plan will be translated into a community-wide regulating plan locating the range of proposed transect zones on the overall project site. As delineated in the structure plan, parameters of urban form and land use will be translated into various aspects of the code. These include maximum block sizes, typologies for thoroughfares, open spaces, frontages and buildings, building placement, parking, mix of permitted, conditional and restricted uses, etc. As the project is still in the preliminary planning stages, the degree to which other aspects of community design (such as architectural standards or agrarian urbanism) will be incorporated needs to be determined.
ASSESSMENT

The structure plan or “chassis” planning methodology recognizes the importance of establishing a street and block pattern in the basic development framework. When combined with parameters for building placement, bulk and height (massing) and a mix of basic uses (commercial, residential, office, etc) this system has the potential to provide both flexibility and predictability. The degree to which these two divergent traits are balanced lies in the level of specificity and detail as well as the use of dimensional ranges (minimum-maximum). Sustainability measures, especially those pertaining to high performance building and infrastructure systems, would need to be addressed through add-ons or accompanying standards.

Urban Core Block Metrics

One Typical 660’ X 660’ Block

<table>
<thead>
<tr>
<th>BUILDINGS</th>
<th>permitted in zoning category</th>
<th>rds (22.5) per building type</th>
<th>no. of duplexes per building type</th>
<th>no. of triplexes per building type</th>
<th>no. of porches per building type</th>
</tr>
</thead>
<tbody>
<tr>
<td>flex house</td>
<td>T/T</td>
<td>3</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>townhouse</td>
<td>T/T/T</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>apt house</td>
<td>T/T</td>
<td>4</td>
<td>4</td>
<td>10</td>
<td>80</td>
</tr>
<tr>
<td>mu (Fri)</td>
<td>T/T</td>
<td>54</td>
<td>114</td>
<td>228</td>
<td>456</td>
</tr>
<tr>
<td>mu (Fri)</td>
<td>T/T</td>
<td>54</td>
<td>135</td>
<td>210</td>
<td>540</td>
</tr>
<tr>
<td>houses</td>
<td>CS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>meeting hall</td>
<td>CS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**AGGREGATE BUILDINGS PER BLOCK**

<table>
<thead>
<tr>
<th>building type</th>
<th>no. of townhome</th>
<th>no. of duplex per building type</th>
<th>no. of triplex per building type</th>
<th>no. of floor area</th>
<th>per street parking</th>
<th>no. of units</th>
<th>no. of units</th>
</tr>
</thead>
<tbody>
<tr>
<td>flex house</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>townhouse</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>apt house</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>house</td>
<td>2</td>
<td>259</td>
<td>972</td>
<td>159</td>
<td>36</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>mu (Fri)</td>
<td>2</td>
<td>210</td>
<td>1050</td>
<td>159</td>
<td>36</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>house</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>meeting hall</td>
<td>0.33</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>total</td>
<td>488</td>
<td>1592</td>
<td>210</td>
<td>36</td>
<td>1052</td>
<td>46</td>
<td></td>
</tr>
</tbody>
</table>

**Note:**
- *Anchored apartments not counted as separate units, population included in principal building.
- **School Frontage to be paid by school district.
- ***Block total includes B street and park frontage.
PROJECT DETAILS

**Project Name:** Smart Code Vr.9.2  
**Website:** www.transect.org/codes.html  
**Project Type:** Variable  
**Planner/Designer:** DPZ & Company  
**Site Size:** Developed for wide variety of circumstances ranging from entire communities to specific corridors and districts

GENERAL PROJECT DESCRIPTION

Originally developed by Duany Plater-Zyberk & Company and in use since 2003, the SmartCode is a model form-based ordinance designed to create walkable neighborhoods across the full spectrum of human settlement, from the most rural to the most urban, incorporating a transect of character and intensity within each. It folds zoning, subdivision regulations, urban design, and basic architectural standards into one compact document. Because the SmartCode enables community vision by coding specific outcomes that are desired in particular places, it is meant to be locally calibrated (adjusted for specific conditions) by professionals in planning, urban design, architecture and land use law.

**Land Uses:** Mixed  
**Zoning Designation:** N/A  
**Redevelopment Land Uses:** N/A  
**Permitted Conditional Uses:** N/A  
**Prohibited Uses:** N/A  
**Code Type:** Form based and Transect based unified land  
**Illustrations:** Yes  
**Charts and Tables:** Yes
DESCRIPTION & ANALYSIS OF ZONING

The Smart Code is built upon the rural to urban transect. A transect is a cut or path through part of the environment displaying a range of different habitats. Biologists and ecologists use transects to study the many symbiotic elements that contribute to habitats where certain plants and animals thrive.

Human beings also thrive in different habitats. Some people prefer urban centers and would suffer in a rural place, while others thrive in the rural or sub-urban zones. Before the automobile, American development patterns were more walkable, and transects within towns and city neighborhoods revealed areas that were less urban and more urban in character. This urbanism can be analyzed as natural transects are analyzed.

WALKABLE NEIGHBORHOODS

One of the main principles in the SmartCode is that towns and cities should be structured as a series of walkable neighborhoods. Walkable neighborhoods require a mix of land uses (residential, office, and retail), public spaces with a sense of enclosure to create “outdoor rooms”, and pedestrian-oriented transportation design that allows residents to meet many of their daily needs on foot or bicycle. Walkability is addressed on several levels within the code including during the calibration process through the application of the ¼ mile pedestrian shed for establishing neighborhood boundaries, locations, distribution and accessibility to various uses and public park and open space systems. The SmartCode aims to replace conventional Euclidean zoning and subdivision regulations, making walkable mixed-use development legal by right.

As a model code based on the transect that sets a range of parameters, the SmartCode must be calibrated (adjusted per local conditions) for each place, to reflect local character and form. Depending on the place, there may be fewer or more T-zones determined by analysis. For example, most small towns do not have a T-6 Urban Core Zone and most fully developed communities do not have a T-2 Rural Zone. Calibration of the code is undertaken through the use of a survey that compares the existing metrics and typologies against what was coded. It allows one to extract the essential aspects of character of an exceptionally good place and apply those aspects to the development of new zoning.
ALTERNATIVE FORM OF ZONING

As a type of form-based code, the SmartCode is an alternative zoning system that typically replaces conventional, separated-use zoning at the community, corridor or district level or in some instances, as a parallel alternative code. The six T-zones (Transect Zones) provide the basis for neighborhood structure, requiring walkable streets, a mix of uses and building types, transportation options, and open spaces. The T-zones vary by the ratio and level of intensity of their natural, built, and social components. They may be coordinated to all scales of planning, from the region through the community scale down to the individual lot and building, but the new zoning itself is typically applied at the community (municipal) scale.

The T-zones are intended to be balanced within a neighborhood structure based on pedestrian sheds (walkable zones), so that even T-3 residents may walk to different habitats, such as a main street, civic space, or agrarian land. The following table lays out the relationship of the region and community to the Transect Zones in the model SmartCode.

The table below illustrates the nesting relationship of the scales of planning addressed in the SmartCode. Note that the six Transect Zones are not applied at the regional scale, as they are used for municipal zoning or to achieve balance in private developments.

The SmartCode is organized into seven sections:
1. General to all plans
2. Regional scale plans
3. New Community scale plans
4. Infill Community scale plans
5. Building scale plans
6. Standards and tables
7. Definitions of terms

Primary standards for guiding the establishment of urban form (Article 6) include the disposition, configuration, and function of buildings, frontages, parking, thoroughfares and civic space. This insures human habitats with distinctive character. New Urbanist practitioners refer to the framework of the rural-to-urban simply as “the Transect.” The benefits of using the Transect include:
• a common language for a new zoning system
• the ability to plug into transect-based codes and supplementary tools or modules created by different experts in the design, engineering, and environmental fields
• potential for communities to evolve gracefully and sustainably over generations

SMARTCODE

TABLE 15B. FORM-BASED CODE GRAPHICS - T4

Excerpt of typical code page

Examples from the Smart Code
SUSTAINABILITY

In addition to coding for mixed use, local character and walkability, the latest version of the SmartCode addresses numerous aspects of sustainability through the use of additional chapters or “modules”. Each module is organized to fit seamlessly within the code’s structure and includes performance metrics based on each T-zone. Modules focused on sustainable development include:

- Zero Net Energy Buildings
- Affordable Housing Incentives
- Visitability (universal design)
- Lifelong Communities
- Live-Work Design and Policy
- Retail: Sustainable Commerce
- Sprawl Repair
- Agrarian Urbanism
- Bicycling
- Complete Thoroughfare Assemblies
- Vehicle Miles Traveled
- Landscape and Tree Canopy Cover
- Light Imprint Stormwater Matrix
- Natural Drainage
- Regional Watersheds
- Riparian and Wetland Buffers
- Flood Hazard Mitigation
- Renewable Resources, and
- Public Darkness & Light Levels

APPLICABILITY AND ADMINISTRATION

The SmartCode differs from other non-transect form-based codes in that its community-scale and block-scale articles are written explicitly for zoning. Since its inception, the code’s platform has been calibrated and adopted by communities ranging in size from the 1,100 person town of Burns Harbor, Indiana to the 400,000 person city of Miami, Florida and is used in over 200 communities in the United States and abroad. It has a brand recognition that attracts high quality, local, national and international developers.

As a graphical, form-based code, administration of the SmartCode requires City staff, planning and zoning commission members and elected official to learn a new set of rules and terminology. Depending on the size (number of professionals) and sophistication (complexity of current land development ordinances) of a community’s planning, zoning and building departments, this can be a challenge or an opportunity. One of the benefits of employing the SmartCode is the extensive network of educational and informational support available from a range of non-profit organizations, SmartCode adopter communities and for-profit consultants.

TABLE 7: PRIVATE FRONTAGES

The Private Frontage is the area between the building Facades and the Lot lines.

<table>
<thead>
<tr>
<th>SECTION</th>
<th>PLAN</th>
</tr>
</thead>
<tbody>
<tr>
<td>LOT</td>
<td>R.O.W.</td>
</tr>
<tr>
<td>PRIVATE FRONTAGE</td>
<td>PUBLIC FRONTAGE</td>
</tr>
</tbody>
</table>

a. **Common Yard**: a planted Frontage wherein the Facade is set back substantially from the Frontage Line. The front yard created remains unfenced and is visually continuous with adjacent yards, supporting a common landscape. The deep Setback provides a buffer from the higher speed Thoroughfares.

b. **Porch & Fence**: a planted Frontage wherein the Facade is set back from the Frontage Line with an attached porch permitted to Encroach. A fence at the Frontage Line maintains street spatial definition. Porches shall be no less than 8 feet deep.

c. **Terrace or Lightwell**: a Frontage wherein the Facade is set back from the Frontage line by an elevated terrace or a sunken Lightwell. This type buffers Residential use from urban Sidewalks and removes the private yard from public Encroachment. Terraces are suitable for conversion to outdoor cafes. Syn: *Dooryard*.